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PATENT TRADEMARK OFFICE

PATENT  
Docket No.: 4024-40062633  
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10-12-02**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE****Applicant(s):** Jim Hochberg et al.**Serial No.:** 09/897,165**Group Art Unit:** 2633**Filing Date:** June 29, 2001**Examiner:** To Be Assigned**Title:** EYE SAFETY SHUTDOWN**CERTIFICATE OF MAILING (37 C.F.R. §1.8a)**Commissioner of Patents  
Washington, D.C. 20231**RECEIVED**

OCT 02 2002

Dear Sir:

Technology Center 2600

I hereby certify that the attached:

1. Information Disclosure Statement;
2. PTO Form 1449;
3. Copy of six (6) references cited in PTO Form 1449; and
4. Return postcard.

(along with any paper(s) referred to as being attached or enclosed) and this Certificate of Mailing are being deposited with the United States Postal Service on the date shown below with sufficient postage as first-class mail in an envelope addressed to the: Commissioner of Patents, Washington, DC 20231.

Respectfully submitted,

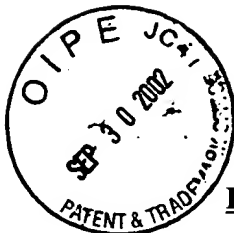
**MORGAN & FINNEGAN, L.L.P.**

Date: September 24, 2002

By: 

Richard Straussman

**Mailing Address:**MORGAN & FINNEGAN, L.L.P.  
345 Park Avenue  
New York, New York 10154  
(212) 758-4800 Telephone  
(212) 758-6849 Facsimile



Docket No. 4024-4006

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant(s):** Jim Hochberg et al.

**Serial No.:** 09/897,165

**Group Art Unit:** 2633

**Filed:** June 29, 2001

**Examiner:** To Be Assigned

**For:** EYE SAFETY SHUTDOWN

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OCT 02 2002

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
Washington, D.C. 20231

Technology Center 2600

Sir:

This Information Disclosure Statement is filed in accordance with 37 C.F.R. §§1.56, 1.97 and 1.98. The items listed on Form PTO-1449, a copy of which is enclosed, are made of record to assist the Patent and Trademark Office in its examination of this application. The Examiner is respectfully requested to fully consider the items and to independently ascertain their teaching.

1. ☐ For each of the following items listed on the enclosed copy of Form PTO-1449 that is not in the English language, an English language translation of that item or a portion thereof or a concise explanation of the relevance of that item is enclosed:  

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2. ☐ For each of the items listed on the enclosed copy of Form PTO-1449 that is not in the English language, a concise explanation of the relevance of that item is incorporated in the specification of the above-identified application.
3. ☐ All items listed on the enclosed copy of Form PTO-1449 were previously cited by or submitted to the Patent and Trademark Office in application Serial No. \_\_\_\_\_, filed \_\_\_\_\_ to which the present application claims the benefit of priority under 35 U.S.C. §120.
4. ☒ **No fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with:**  
  
☐ 37 C.F.R. §1.97(b)(1), within three months of the filing date of a national application other than a CPA; or

- ☐ 37 C.F.R. §1.97(b)(2), within three months of the date of entry into the national stage as set forth in §1.491 in an international application; or
- ☒ **37 C.F.R. §1.97(b)(3), before the mailing date of a first Office action on the merits; or**
- ☐ 37 C.F.R. §1.97(b)(4) before the mailing date of a first Office Action after the filing of an RCE under §1.114.
5. ☐ No fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(c), after the period specified in paragraph 4 above but before the mailing date of a final action or a Notice of Allowance (where there has been no prior final action), and is accompanied by one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 9 below.
6. ☐ A fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(c), after the period specified in paragraph 4 above but before the mailing date of a final action or a notice of allowance (where there has been no prior final action):
- ☐ A check in the amount of \$180.00 is enclosed in payment of the fee.
- ☐ Charge the fee to Deposit Account No. 13-4500, Order No. \_\_\_\_\_.  
A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
7. ☐ A fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(d), after the mailing date of a final action or a notice of allowance, whichever comes first, but before payment of the issue fee, and is accompanied by:
- a. one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 9 below; and
- b. the fee due under 37 C.F.R. §1.17(p) which is paid as set forth in paragraph 11 below.
8. ☐ A fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with:
- a. ☐ 37 C.F.R. §1.313(b)(3) or §1.313(c)(1), after the issue fee has been paid and information cited in this Information Disclosure Statement may render at least one claim unpatentable and is accompanied by the attached Petition To Withdraw Application From Issue and fee pursuant to 37 C.F.R. §1.17(h);
- b. ☐ 37 C.F.R. §1.313(c)(2) or §1.313(c)(3), after the issue fee has been paid and information cited in this Information Disclosure Statement is to be considered in a Request for Continued Examination (RCE) or a Continuation application upon abandonment of the instant application and is accompanied by the attached Petition To Withdraw Application From Issue and fee pursuant to 37 C.F.R. §1.17(h).
- c. ☐ The fees due under 37 C.F.R. §§1.17(h) and 1.17(p) are paid as set forth in paragraph 11 below.

9. ☐ I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- ☐ I hereby certify that no item of information in the Information Disclosure Statement filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
10. ☐ This document is accompanied by ☐ a Search Report ☐ Communication which was cited in a corresponding ☐ PCT or ☐ Foreign counterpart application
11. ☐ A check in the amount of \$\_\_\_\_\_ is enclosed in payment of the fees due under 37 C.F.R. §§1.17(h) and 1.17(p).
- ☐ Charge the fees due under 37 C.F.R. §§1.17(h) and 1.17(p) to Deposit Account No. 13-4500, Order No. 4024-4006. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
- ☒ **The Commissioner is hereby authorized to charge any additional fees which may be required for this Information Disclosure Statement, or credit any overpayment to Deposit Account No. 13-4500, Order No. 4024-4006. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.**

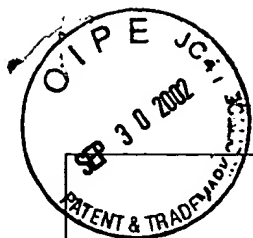
Respectfully submitted,  
MORGAN & FINNEGAN, L.L.P.

Dated: September 24, 2002

By: \_\_\_\_\_

Richard Straussman  
Registration No. 39,847

Correspondence Address:  
MORGAN & FINNEGAN, L.L.P.  
345 Park Avenue  
New York, NY 10154-0053  
(212) 758-4800 Telephone  
(212) 751-6849 Facsimile



FORM PTO-1449

## INFORMATION DISCLOSURE CITATION

Attorney Docket:  
4024-4006Serial No.:  
09/897,165Applicant:  
Jim Hochberg et al.Filing Date:  
June 29, 2001Group Art Unit:  
2633

## U.S. PATENT DOCUMENTS

Examiner Initial	Patent Number	Publication Date	Name	Class	Sub-Class	Filing Date

## FOREIGN PATENT DOCUMENTS

Examiner Initial	Patent Number	Publication Date	Country	Class	Sub-Class	Translation
						<input type="checkbox"/> Yes <input type="checkbox"/> No
						<input type="checkbox"/> Yes <input type="checkbox"/> No
						<input type="checkbox"/> Yes <input type="checkbox"/> No
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						<input type="checkbox"/> Yes <input type="checkbox"/> No
						<input type="checkbox"/> Yes <input type="checkbox"/> No
						<input type="checkbox"/> Yes <input type="checkbox"/> No

## OTHER DOCUMENTS (continued)

	Dines, J., "Smart Pixel Optoelectronic Receiver Based on a Charge Sensitive Amplifier Design", IEEE Journal of Selected Topics in Quantum Electronics, Vol. 2 No. 1, pages 117-120, April 1996.
	Madhavan B. and Levi A.F.J., "Low-power 2.5Gbit/s VCSEL driver in 0.5 $\mu$ m CMOS technology", Electronics Letters, Vol. 34, No. 2, pages 178-179, January 22, 1998.
	Van Blerkom, D., "Transimpedance Receiver Design Optimization for Smart Pixel Arrays", <i>Journal of Lightwave Technology</i> , Vol. 16, No. 1, pages 119-126, January 1998.
	Woodward, T. et al., "Optical Receivers for Optoelectronic VLSI", IEEE Journal Of Selected Topics In Quantum Electronics, Vol. 2, No. 1, pages 106-115, April 1996.
	Woodward, T.K., "Optical Receivers for Smart Pixel Applications", Photonics West Conference, January 1996, pages 67 and 68.
	Woodward, T.K. et al., "Parallel operation of 50 element two-dimensional CMOS smart-pixel receiver array", IEEE, 1998.

Examiner

Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP §609.  
Draw line through citation if not in conformance and not considered.  
Include copy of this form with next communication to Applicant.